

Nottingham Advisors, Inc.

PROFESSIONAL BIOGRAPHIES

The information contained herein is provided to clients and prospective clients in accordance with Form ADV, Part II, Uniform Application for Investment Adviser Registration. (SEC File number 801-17685).

This brochure supplement provides information about Nottingham's management professionals that supplements the Nottingham brochure. You should have received a copy of that brochure. Please contact Nottingham at 716-633-3800 if you did not receive Nottingham's brochure or if you have any questions about the contents of this supplement.

Thomas S. Quealy, Jr. **Chief Executive Officer**

- Education: BA Economics University of Buffalo
- Responsibilities: Overall responsibility of the management and growth of the firm, member of the Investment Policy Committee, contributes to the formulation of the firm's overall investment strategy and participates in business development and management of client portfolios.
- Joined Nottingham 1989, investment experience: 24 years, b. 1966

Lawrence V. Whistler, CFA **President/Chief Investment Officer**

- Education: BBA College of William & Mary, MBA Emory University
- CFA (Chartered Financial Analyst) designation was earned in 2003. This professional designation is given by the CFA Institute that measures the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis.
- Outside Business Activities: Manager, Numax LLC, and Ten450.
- Responsibilities: Director of research for the firm and heads the Investment Policy Committee. Also develops and implements portfolio strategy and performance reporting in addition to individual client portfolio management.
- Previous experience: Asset controller, S J McCulloch Inc. 1990-1993, bond trader, Merrill Lynch 1994-2004, Partner with O'Bannon, Davis & Whistler 2004-2006
- Joined Nottingham 2006, investment experience: 23 years, b. 1968

The information contained herein has not been approved or verified by any government authority.

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Nicholas Verbanic **Vice President/Portfolio Manager**

- Education: BA Economics SUNY Buffalo
- Responsibilities: Member of the Investment Policy Committee, contributes to new business development, involved in implementing client investment objectives and educates clients on market trends and portfolio strategy.
- Joined Nottingham 1990, investment experience: 23 years, b. 1967

Christopher Hugar, CFA **Portfolio Manager**

- Education: BS Finance/Economics, Canisius College
- CFA (Chartered Financial Analyst) designation was earned in 2011. This professional designation is given by the CFA Institute that measures the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis.
- Responsibilities: Member of the Investment Policy Committee. Also develops and implements portfolio strategy and performance reporting in addition to individual portfolio management.
- Previous experience: Citigroup, Yield Book
- Joined Nottingham 2008, investment experience: 5 years, b. 1986

Matthew Krajna **Assistant Portfolio Manager**

- Education: BS Finance/Accounting/Accounting Information Systems, MBA Accounting, Canisius College
- Level 2 CFA Candidate(Chartered Financial Analyst) This professional designation is given by the CFA Institute that measures the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis.
- Responsibilities: Member of the Investment Policy Committee. Also develops and implements portfolio strategy in addition to individual portfolio management. Performs due diligence on ETF's for inclusion in portfolio strategy.
- Joined Nottingham 2012, investment experience: 1 year, b. 1989

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Thomas Quealy, CEO, is responsible for the supervision of all members of the Investment Policy Committee, other professionals and their activities. He can be reached at the address below. Phone: (716)-633-3800. Supervision is monitored through weekly Policy Committee meetings and daily interaction with personnel to be certain advice given to clients is consistent with the firm's policies. Thomas Quealy's direct supervisor is Scott Kingsley and he can be reached at (315)-445-3121. Mr. Kingsley's office is located at Community Bank Systems Inc., 5790 Widewaters Parkway, DeWitt, New York 13214.

There have been no disciplinary actions taken with any employee of Nottingham and no one is eligible to receive additional compensation for providing advisor services.

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