

# **ADV Part 2B, Brochure Supplement**

for

Conner J. Gyllenhammer, CFA® Investment Advisor

Effective: August 2, 2024

Contact: Nicholas S. DiRienzo, Chief Compliance Officer 100 Corporate Parkway, Suite 338 Buffalo, New York 14226

This Brochure Supplement provides information about Conner J. Gyllenhammer that supplements the Nottingham Advisors, Inc. Brochure; you should have received a copy of that Brochure. Please contact Nicholas S. DiRienzo, Chief Compliance Officer, if you did not receive Nottingham Advisors, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about Conner J. Gyllenhammer is available on the SEC's website at <a href="www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> by searching with his full name or his Individual CRD# 7265117.



## Item 2 Education Background and Business Experience

Conner J. Gyllenhammer was born in 1996. Mr. Gyllenhammer graduated from the State University of New York at Geneseo with a Bachelor of Science degree in Business Administration and Finance. Mr. Gyllenhammer has been an Associate Portfolio Manager of Nottingham Advisors, Inc. since May 2022. From October 2021 through April 2022, Mr. Gyllenhammer was an Associate with SC Parker. From May 2017 through October 2021, Mr. Gyllenhammer was a Senior Pricing Specialist with HSBC Bank.

Employment History:			
05/2022 - Present	Nottingham Advisors	Investment Adviser Representative	Amherst, NY
2021 - 2022	SC Parker	Associate	Williamsville, NY
2017 - 2021	HSBC Bank Holdings	Pricing Analyst	Buffalo, NY
2012 - 2017	Visions Federal Credit Union	Member Service Representative	Binghamton, NY

Mr. Gyllenhammer is a CFA® Charterholder. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 190,000 CFA\* Charterholders working in over 170 countries and regions. To earn the CFA\* charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA\* Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

# Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA\* charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA\* Charterholders —often making the charter a prerequisite for



employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA\* charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

# Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

# **Item 3 Disciplinary Information**

There are no legal, civil or disciplinary events to disclose regarding Mr. Gyllenhammer. Mr. Gyllenhammer has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Gyllenhammer.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Gyllenhammer.

However, we do encourage you to independently view the background of Mr. Gyllenhammer on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7265117.

#### **Item 4 Other Business Activities**

The supervised person is not actively engaged in any other investment-related businesses or occupations.

#### Item 5 Additional Compensation

Mr. Gyllenhammer's annual compensation is based, in part, on the amount of assets under management that Mr. Gyllenhammer introduces to the Registrant and the number of clients that Mr. Gyllenhammer introduces to the Registrant. Accordingly, Mr. Gyllenhammer has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the



recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Nicholas S. DiRienzo, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. DiRienzo at (716) 633-3800.

## Nottingham Advisors, Inc.

100 Corporate Pkwy., Suite 338 Amherst, NY 14226 www.nottinghamadvisors.com